



## PROPOSED 2-DAY INDUCTION TRAINING ON PREVENTIVE VIGILANCE

(BANKS)

DAY 1	ACTIVITY	TIME
Activity-1	➤ Preventive Vigilance “A Conceptual Framework”: <ul style="list-style-type: none"><li>➤ Overview of Vigilance functions including evolution.</li><li>➤ Punitive, Participative &amp; Preventive Vigilance</li><li>➤ Importance of Preventive Vigilance</li><li>➤ Examples of best practices both within India and outside India on Preventive Vigilance.</li></ul>	1.0hrs
	➤ Film on Preventive Vigilance by the Central Vigilance Commission: <ul style="list-style-type: none"><li>➤ Film on Preventive Vigilance prepared by the Commission for Vigilance Awareness Week-2018 may be screened. It is on the website of the Central Vigilance Commission</li></ul>	15 min
	➤ Lecture on Procurement including e-procurement and Government e-Marketplace – Need for efficiency and transparency	1.0 hrs
	➤ Lecture on Audit – an overview	1.0 hrs
	Or	
➤ Lecture on Reserve Bank of India Guidelines- (if not taught separately)		
Lunch Break		



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<b>Activity-2</b>	<b>➤ Case studies on Preventive Vigilance (best practices):</b>	<b>1.5 hrs</b>
	<ul style="list-style-type: none"><li><i>✚ The organization may choose an experienced person from the relevant fields for a presentation on one or two Case Studies on Preventive Vigilance initiatives in their organization</i></li><li><i>✚ Experience sharing (Group Activity)* – with moderator</i></li><li><i>✚ The session may be kept interactive.</i></li></ul>	<b>15 minutes for discussion and 10 minutes for presentation by each group</b>

**\*Maximum 10 participants in a group**



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DAY 2	ACTIVITY	TIME
Activity-1	<p>➤ Group Discussions on Preventive Vigilance Measures on the following topics (indicative list)%</p> <p><b>A. Procurement Principles</b></p> <ul style="list-style-type: none"><li>✚ General Financial Rules</li><li>✚ Central Vigilance Commission Guidelines</li><li>✚ Government E-Marketplace</li><li>✚ E-procurement</li></ul> <p>(Chief Technical Examiners to be involved in preparation of this module)</p> <p><b>B. Audit</b></p> <ul style="list-style-type: none"><li>✚ Objectives of Audit</li><li>✚ Compliance</li><li>✚ Statutory</li><li>✚ Performance</li><li>✚ Internal/concurrent</li><li>✚ Forensic</li></ul> <p><b>C. Whistle Blower Mechanism</b></p> <ul style="list-style-type: none"><li>✚ Internal in every organization</li><li>✚ Protection of Whistle Blower</li><li>✚ Feedback on action taken</li><li>✚ Use of technology</li></ul> <p><b>D. Ethics</b></p> <ul style="list-style-type: none"><li>✚ Evolution over ages</li><li>✚ Ethical Dilemma – case studies</li><li>✚ Conflict of interest</li><li>✚ Experience from the field visit - may be linked with village visit</li></ul>	Forenoon



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Or

- ✚ If the village visit is to take place latter then to discuss and evolve some activity which can be taken up during the village visit

### E. Technology as an Enabler

- ✚ Leveraging technology
- ✚ Cyber crime
- ✚ Innovation

### F. E-Governance

- ✚ Process Re-Engineering
- ✚ Reducing Discretion

### G. Conduct Rules

- ✚ Overview
- ✚ Constitutional Basis
- ✚ Major provisions – Conduct, Discipline and Appeal Rules. (relevant conduct rules)

### H. Right to Information

### I. Complaint Handling System

### J. Transparency

*The organizer may divide the participants into 6 groups and assign specific topic from above indicative list or any other topic specific to the organization for the groups to discuss on:*

- a. The Group should identify the bottlenecks in the vertical which make it vulnerable to corruption or lack of transparency and efficiency in*



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*implementation of schemes etc.*

- b. *Group should suggest preventive measures to plug the bottlenecks with timelines.*
- c. *Prepare a power point presentation of about 10 minutes (10 slides maximum)*

### Tea Break

- **Activity-1 continues..... (preparation of power point presentation)**

### Lunch

**Activity-2** ➤ **6 Groups to make a presentation about their topic before an Expert Panel#: 2.0 hrs**

- The groups to make a presentation of their paper before an Expert Panel with time slotted for inclusion of question and answer session.(10 minutes each and 10 minutes interactive)

a. Group – 1

b. Group – 2

c. Group – 3

d. Group – 4

e. Group – 5

f. Group - 6

**Activity-3** ➤ **Expert Panel to discuss Preventive Vigilance as a strategy and also comment on each group presentation and way forward:**


✚ *In the Expert Panel# Discussion the*



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*experts to provide their general and  
specific comments on each group  
presentations*

 *Way forward*

**% One moderator may initiate the Group Discussion (setting the ground rules)**

**# Experts may be taken from the organization/training institution or from out side**